

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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:  
**In re** : **Chapter 11 Case No.**  
:  
**LEHMAN BROTHERS HOLDINGS INC., et al.,** : **08-13555 (SCC)**  
:  
: **(Jointly Administered)**  
:  
**Debtors.** :  
:  
-----x **Ref. Docket Nos. 51681, 51930**

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On February 3, 2016, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
19<sup>th</sup> day of February, 2016

/s/ Sidney J. Garabato  
Notary Public, State of New York  
No. 01GA6218946  
Qualified in New York County  
Commission Expires March 15, 2018

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE OF DEFECTIVE TRANSFER**

PETERCAM SA  
CORPORATE ACTIONS DEPARTMENT  
PLACE ST-GUDULE 19  
BRUSSELS 1000  
BELGIUM

BAR(23) MAILID \*\*\* 000101671864 \*\*\*

LBH DEFTRFNTC (TRFDFDAT, TRFDEFNUM) 1006



P STONE LION IE, A FUND OF PERMAL MANAGED ACCOUNT PLATFORM ICAV  
STONE LION PORTFOLIO L.P., STONE LION CAPITAL PARTNERS L.P.  
ATTN: BRIAN WOOD  
555 5TH AVE, 18TH FLOOR  
NEW YORK, NY 10017

**Your transfer of claim # 56009 is defective for the reason(s) checked below:**

Other Wrong Transferor

Other

Docket Number 51681

Date: 12/18/2015

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

## **EXHIBIT B**

Claim Name	Address Information
AVIVA LIFE S.P.A.	ATTN: PATRICK DIXNEUF, CHIEF EXECUTIVE OFFICER, AVIVA ITALY, VIA SCARSELLINI 14, MILAN 20161 ITALY
HSBC TRUSTEE (C.I.) LIMITED	IN ITS CAPACITY AS TRUSTEE IN RELATION TO THE ISSUE OF THE, QUARTZ SERIES 2001-2 EUR24,211,000 ZERO COUPON FUND-LINKED PRINCIPAL, PROTECTED NOTES DUE 2009 (ISIN:XS0132498493) BY QUARTZ FINANCE LIMITED, ATTN: JACKI F. BRAID, P.O BOX 88, 1 GRENVILLE STREET, ST HELIER JERSEY JE49PF UNITED KINGDOM
P STONE LION IE, A FUND OF PERMAL MANAGED ACCOUNT PLATFORM ICAV	STONE LION PORTFOLIO L.P., STONE LION CAPITAL PARTNERS L.P., ATTN: BRIAN WOOD, 555 5TH AVE, 18TH FLOOR, NEW YORK, NY 10017
PETERCAM SA	CORPORATE ACTIONS DEPARTMENT, PLACE ST-GUDULE 19, BRUSSELS 1000 BELGIUM

<b>Total Creditor Count 4</b>
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